

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Application of:

Yukihito OOWAKI et al.

Serial No.: Not Yet Assigned

Filed: June 13, 2001

For: MIS TRANSISTOR AND METHOD
FOR PRODUCING SAME

) Parent Application Information

) Serial No.: 09/340,149

) Group Art: 2814

JC971 U.S. PTO
09/879208
06/13/01

#3 / 188
7-23-01
Ratner

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. § 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed with the above-referenced application.

Copies of the listed documents were previously cited by Applicants and the Examiner in prior application serial no. 09/340,149 filed June 28, 1999, upon which Applicants rely for the benefits provided in 35 U.S.C § 120. Therefore, pursuant to 37 C.F.R. § 1.98(d), copies of these documents are not being provided. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

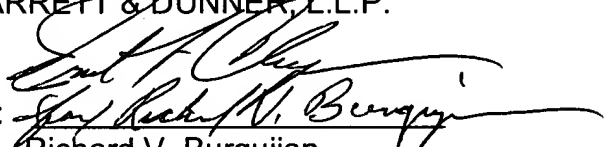
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and the applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

By: 

Richard V. Burgujian
Reg. No. 31,744

ERNEST F. CHAPMAN
Reg. No. 25,961

Date: June 13, 2001